



03-30-01

A/REISSUE

Please type a plus sign (+) inside this box →

PTO/SB/50 (02-01)

Approved for use through 01/31/2004 OMB 0651-0033

U S Patent and Trademark Office, U.S DEPARTMENT OF COMMERCE

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REISSUE PATENT APPLICATION TRANSMITTAL

JC986 U.S. PRO
09/820973
03/29/01

Address to: Assistant Commissioner for Patents Box Reissue Washington, DC 20231	Attorney Docket No.	L6780/251099
	First Named Inventor	Steve W. Braun
	Original Patent Number	5,898,801
	Original Patent Issue Date (Month/Day/Year)	04/27/99
	Express Mail Label No.	EL670011341US

APPLICATION FOR REISSUE OF: Utility Patent Design Patent Plant Patent
(Check applicable box)

APPLICATION ELEMENTS (37 CFR 1.173)		ACCOMPANYING APPLICATION PARTS
1. <input checked="" type="checkbox"/> Fee Transmittal Form (PTO/ SB/ 56) (Submit an original, and a duplicate for fee processing)	10. <input type="checkbox"/> Statement of status and support for all changes to the claims. See 37 CFR 1.173 (c).	
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	11. <input type="checkbox"/> Original U.S. Patent for surrender <input type="checkbox"/> Ribboned Original Patent Grant <input type="checkbox"/> Statement of Loss (PTO/SB/55)	
3. <input checked="" type="checkbox"/> Specification and Claims in double column copy of patent format (amended, if appropriate)	12. <input type="checkbox"/> Foreign Priority Claim (35 U.S.C. 119) (if applicable)	
4. <input checked="" type="checkbox"/> Drawing(s) (proposed amendments, if appropriate)	13. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations	
5. <input checked="" type="checkbox"/> Reissue Oath/Declaration (original or copy) (2) (37 C.F.R. § 1.175) (PTO/SB/51 or 52)	14. <input type="checkbox"/> English Translation of Reissue Oath/Declaration (if applicable)	
6. <input type="checkbox"/> Power of Attorney	15. <input checked="" type="checkbox"/> Preliminary Amendment	
7. Original U.S. Patent currently assigned? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (If Yes, check applicable box(es))	16. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)	
<input checked="" type="checkbox"/> Written Consent of all Assignees (PTO/SB/53)	17. Other: 1). Recordation Form Coversheet & Assignment from Tetra Tech to Lockheed Martin..... 2) Recordation Form Coversheet & Assignment from Braun & Hödara to Tetra Tech Data Systems, Inc.	
<input checked="" type="checkbox"/> 37 C.F.R. § 3.73(b) Statement (PTO/SB/96)		
8. <input type="checkbox"/> CD-ROM or CD-R in duplicate, Computer Program (Appendix) or large table		
9. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all of the following are necessary)		
a. <input type="checkbox"/> Computer Readable Form (CFR)		
b. Specification Sequence Listing on: i <input type="checkbox"/> CD-ROM (2 copies) or CD-R (2 copies); or ii <input type="checkbox"/> paper		
c. <input type="checkbox"/> Statements verifying identity of above copies		

18. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	<input type="checkbox"/> Correspondence address below (Insert Customer No. or Attach bar code label here)		
Name	23370		
Address	PATENT TRADEMARK OFFICE		
City	State	Zip Code	Fax
Country	Telephone		

NAME (Print/Type)	Geoff L. Sutcliffe	Registration No. (Attorney/Agent)	36,348
Signature	<i>Geoff L. Sutcliffe</i>	Date	03/29/01

Burden Hour Statement This form is estimated to take 0 2 hours to complete Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Assistant Commissioner for Patents, Box Reissue, Washington, DC 20231.

ORIGINAL

PTO/SB/56 (02-01)

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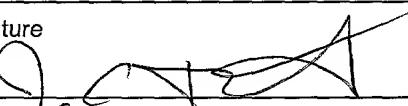
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REISSUE APPLICATION FEE TRANSMITTAL FORM					Docket Number (Optional) L6780/251099			
Claims as Filed - Part 1								
Claims in Patent		Number Filed in Reissue Application	(3) Number Extra	Small Entity		Other than a Small Entity		
				Rate	Fee	Rate	Fee	
(A) 18	Total Claims (37 CFR 1.16(j))	(B) 18	**** 0 = x \$ _____ =			or	x \$ <u>18</u> = <u>0</u>	
(C) 1	Independent claims (37 CFR 1.16(i))	(D) 1	* 0 = x \$ _____ =				x \$ <u>80</u> = <u>0</u>	
Basic Fee (37 CFR 1.16(h)) \$ _____						\$ 710.00		
Total Filing Fee \$ _____						\$ 710.00		
Claims as Amended - Part 2								
	(1) Claims Remaining After Amendment		(2) Highest Number Previously Paid For	(3) Extra Claims Present	Small Entity		Other than a Small Entity	
					Rate	Fee	Rate	Fee
Total Claims (37 CFR 1.16(j))	*** 85	MINUS	** 20	* = 65 x \$ _____ =			x \$ <u>18</u> = <u>1170.00</u>	
Independent Claims (37 CFR 1.16(i))	*** 9	MINUS	***** 3	= 6 x \$ _____ =			x \$ <u>80</u> = <u>480</u>	
Total Additional Fee \$ _____						\$ 1650.00		
OR								
<p>* If the entry in (D) is less than the entry in (C), Write "0" in column 3.</p> <p>** If the "Highest Number of Total Claims Previously Paid For" is less than 20, Write "20" in this space.</p> <p>*** After any cancellation of claims.</p> <p>**** If "A" is greater than 20, use (B - A); if "A" is 20 or less, use (B - 20).</p> <p>***** "Highest Number of Independent Claims Previously Paid For" or Number of Independent Claims in Patent (C).</p>								
<input type="checkbox"/>	Applicant claims small entity status. See 37 CFR 1.27.							
<input type="checkbox"/>	Please charge Deposit Account No. _____ in the amount of _____. A duplicate copy of this sheet is enclosed.							
<input checked="" type="checkbox"/>	The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 or 1.17 which may be required, or credit any overpayment to Deposit Account No. <u>11-0855</u> . A duplicate copy of this sheet is enclosed.							
<input checked="" type="checkbox"/>	A check in the amount of \$ <u>2360.00</u> to cover the filing / additional fee is enclosed.							
<input type="checkbox"/>	Payment by credit card. Form PTO-2038 is attached.							
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
03/29/01								
Date				Signature of Applicant, Attorney or Agent of Record				
				Geoff L. Sutcliffe, Reg. No. 36,348				
				Typed or printed name				

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REISSUE APPLICATION: CONSENT OF ASSIGNEE; STATEMENT OF NON-ASSIGNMENT		Docket Number (Optional) L6780/251099
This is part of the application for a reissue patent based on the original patent identified below.		
Name of Patentee(s) Lockheed Martin Corporation		
Patent Number 5,898,801	Date Patent Issued April 27, 1999	
Title of Invention Optical Transport System		
<p>1. <input checked="" type="checkbox"/> Filed herein is a statement under 37 CFR 3.73(b). (Form PTO/SB/96)</p> <p>2. <input type="checkbox"/> Ownership of the patent is in the inventor(s), and no assignment of the patent is in effect.</p>		
One of boxes 1 or 2 above must be checked. If multiple assignees, complete this form for each assignee. If box 2 is checked, skip the next entry and go directly to "Name of Assignee". The written consent of all assignees and inventors owning an undivided interest in the original patent is included in this application for reissue.		
<p>The assignee(s) owning an undivided interest in said original patent is/are <u>Lockheed Martin Corporation</u>, and the assignee(s) consents to the accompanying application for reissue.</p>		
Name of assignee/inventor (if not assigned)		
Lockheed Martin Corporation		
Signature 	Date	
Typed or printed name and title of person signing for assignee (if assigned)		
Jay A. Brozost Vice President & General Counsel		

JC986 U.S.P.T.O.
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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Lockheed Martin CorporationApplication No./Patent No.: 5,898,801Filed/Issue Date: April 27, 1999Entitled: Optical Transport SystemLockheed Martin Corporation, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

- the assignee of the entire right, title, and interest; or
- an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

A. [] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. [x] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: John Soderberg and Allan Whitaker To: Lockheed Martin Corporation

The document was recorded in the United States Patent and Trademark Office at Reel 009172, Frame 0630, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

[x] Additional documents in the chain of title are listed on a supplemental sheet.

[x] Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

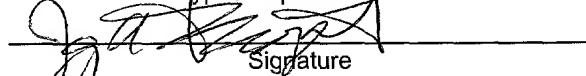
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

3/20/2001

Date

Jay A. Brozost

Typed or printed name



Signature

Vice President & General Counsel

Title

SUPPLEMENTAL SHEET STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Lockheed Martin Corporation

Application No./Patent No.: 5,898,801 Filed/Issue Date: April 27, 1999

Entitled: Optical Transport System

1. Assignment from Steve W. Braun and Henri Hodara to Tetra Tech Data Systems, Inc., dated May 17, 2000.
2. Tetra Tech Data Systems, Inc. to Lockheed Martin Corporation dated May 17, 2000.